

***The Commonwealth of Massachusetts  
Department of Telecommunications & Energy  
(formerly Department of Public Utilities)***

***ANNUAL TELEPHONE RETURN  
of***

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***For the Calendar Year ended December 31, 2\_\_\_\_\_***

As per Chapter 166, Section 11 of the Massachusetts General Laws, this reporting requirement is mandatory. Failure to file this return on time shall subject the respondent to the penalties provided by M.G.L. c. 166, Section 12. The Department of Telecommunications & Energy ("Department") deems this return to be public information.

The forms below should be completed in their entirety. **One signed original Annual Return, including a revenue statement (see p. 12)**, shall be filed with the Department on or before **March 31** of the year following the calendar year of the return. A second copy should be retained by the respondent for future reference. All returns should reflect the calendar year ending December 31.

***FILING FEE OF \$5.00 REQUIRED***

Send to:     Massachusetts DTE, Telecommunications Division  
              One South Station  
              Boston, MA 02110

These forms are posted at < < <http://www.state.ma.us/dpu/telecom/> > >

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### **Instructions:**

**Note** - If you are a small business (i.e. bed & breakfast, laundromat, variety store, etc.) you only need to file a Revenue Statement (pg.13) indicating your MA payphone revenues.

All telephone companies doing business in the Commonwealth of Massachusetts are required to file an Annual Return and Revenue Statement with the Department **on or before** March 31 of the following year.<sup>1</sup> If for any reason an Annual Return cannot be filed by the due date, a written request for an extension may be made to the Department, stating the reason for the request, and the expected filing date.

Internally generated financial statements or an annual FCC return may be substituted for pages four and five. If filing an FCC return as a substitute, page thirteen of this packet must also be filed.

Resellers having no telephone plant in Massachusetts should indicate that pages six and seven are "**Not applicable.**"

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<sup>1</sup> Companies with **no** revenue to report must submit a notarized statement indicating such.

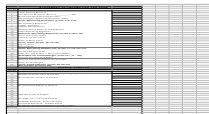
**STATUTORY REQUIREMENT FOR FILING ANNUAL RETURN****Chapter 166****(Telephone Companies)**

Section 11. Every telephone or telegraph company doing business in the Commonwealth shall annually, on or before March thirty-first, or such subsequent dates as the [Department of Telecommunications & Energy], for good cause shown in any case, may fix, file with said [D]epartment a report of its doings for the year ending December thirty-first proceeding, which report shall be in such detail as the [D]epartment prescribes, and shall be called the "Annual Return." Such return shall be sworn to by the treasurer and by the chief accounting officer of such company, and shall include a statement of its business, receipts and expenditures within the [C]ommonwealth during the year, its dividends paid out and declared, the amount of its authorized capital and its indebtedness and financial condition. The word "company" in this and the following section shall include every person, partnership, association and corporation engaged in the business of transmission of intelligence by electricity.

Section 12. Any such company neglecting to make the annual return required by the preceding section shall, for the first fifteen days or portion thereof during which such neglect continues, forfeit five dollars a day; for the second fifteen days or any portion thereof, ten dollars a day; and for each day thereafter a sum not exceeding fifteen dollars a day. If any company refuses or neglects to make such return, it shall, in addition thereto, forfeit not more than five hundred dollars for each offense. All forfeitures recovered under this section shall be paid to the Commonwealth.

Note - Due to the difficulty of maintaining proper table formatting after copying converted files, we have included these tables as separate files. (They are available on the web page which contains this document.)

Excel Spread Sheet OLE Insert (Income Statement)



Excel Spread Sheet OLE Insert (Balance Sheet)

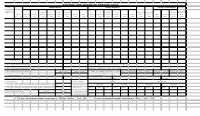


The image is a small, low-resolution thumbnail of an Excel spreadsheet. It displays a grid of cells with some text and numbers, typical of a financial statement like a balance sheet. The text is too small to read, but the layout suggests columns for descriptions and numerical values.

**Excel Spread Sheet OLE Insert (Analysis of Telephone Plant)**



**Excel OLE Spread Sheet Insert (Station and Grade of Service)**



## Compensation of Officers

Name:

Title:

Compensation:

[illegible]





***Telephone  
ANNUAL RETURN  
of***

\_\_\_\_\_

***For the Calendar Year ended December 31, 2\_\_\_\_\_***

***Return Contact***

Name, official title, telephone number, and office address of officer in charge of correspondence with the Department regarding this return:

(Name) \_\_\_\_\_ (Title) \_\_\_\_\_

(Telephone Number) (\_\_\_\_) \_\_\_\_\_

(Office Address) \_\_\_\_\_

\_\_\_\_\_

## *GENERAL INFORMATION*

1. Legal or Corporate name of company:

\_\_\_\_\_

2. Location of principal business office (street and #):

\_\_\_\_\_

3. Date and State of Incorporation \_\_\_\_\_

4. Number of Stockholders \_\_\_\_\_ Number of Shares held \_\_\_\_\_

5. Total Stockholders in Mass. \_\_\_\_\_ Number of Shares held \_\_\_\_\_

6. Capital Stock Outstanding \$ \_\_\_\_\_ Number of Shares \_\_\_\_\_

### **PRINCIPAL OFFICERS** (title, name, and address)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

### **DIRECTORS** (name and address)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**2 Revenue Statement**

(As per MGL c. 25 sec. 18, the purpose of this statement is to provide the Department with the amount of Intrastate Revenues for assessment purposes.)

*Name of Company* \_\_\_\_\_

*D/B/A* \_\_\_\_\_

*Address* \_\_\_\_\_

*Federal Identification #* \_\_\_\_\_

*Massachusetts Gross Revenues (Intrastate)* \$ \_\_\_\_\_

*Other revenues (outside Massachusetts)* \_\_\_\_\_

*Total revenues as per Annual Return* \$ \_\_\_\_\_

**I hereby certify under the penalties of perjury, that the foregoing statement is true to the best of my knowledge and belief.**

\_\_\_\_\_  
(print/type)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Title)

Note:

If Department invoices or correspondence regarding this information should be directed to a particular individual or division, please provide the name and address below.